

## General Application

PARTICIPANT INFORMATION			
<i>(Check will be issued to the participant business name and address listed below unless the payment release section below has been filled out)</i>			
Participant is (check all that apply) <input type="checkbox"/> Customer <input type="checkbox"/> Facility owner <input type="checkbox"/> Tenant/Electricity user			
Participant business name (as shown on IRS Form W-9):			
Mailing address:	City:	State:	Zip:
Contact name:	Contact title:		
Contact telephone number: ( )	Cell number: ( )	Contact email address:	

PROJECT SITE INFORMATION			
Facility/Project name:			
Facility address:	City:	State:	Zip:
Commercial/industrial electric account #:	_____ - _____	Rate Schedule:	
Electric meter number – seven or eight digits: (If multiple meters at site only enter one)	_____	Customer name: (As shown on bill)	
Please provide a description of your project so we may better help you:			
<input type="checkbox"/> Lighting: Retrofit <input type="checkbox"/> Listed Equipment Incentives <input type="checkbox"/> New Construction <input type="checkbox"/> Custom or Energy Management Incentives <input type="checkbox"/> Other: _____	Additional project information: (scope and schedule)		

INCENTIVE ASSIGNMENT (Complete only if incentive is to be assigned to someone other than participant above)			
Check should be made out to:			
Mailing address:	City:	State:	Zip:
Contact name:	Contact telephone:		

**APPLICATION ACKNOWLEDGEMENT**

By my signature below, I certify that all information provided for participation will be accurate including but not limited to supplemental material and claims of participant and equipment information. I confirm I have read, understand and agree with the terms and conditions and agree to be bound by them. I authorize Rocky Mountain Power to provide my electric account information, this application and the attached W-9 to consultants associated with the Wattsmart Business program.

\_\_\_\_\_  
Signatory name & title (please print)

\_\_\_\_\_  
Participant signature

\_\_\_\_\_  
Date

## Terms and Conditions

### How to participate:

Typical incentives:

1. Pre-qualification is highly recommended, but not required.
2. Purchase and install qualifying equipment.
3. Complete general application, technology specific supplemental equipment form(s), and [IRS Form W-9](#).
4. Submit application and all required supplemental forms as directed on supplemental form(s).

Lighting retrofit incentives:

1. Pre-qualification is required prior to project implementation. Submit general application and [IRS Form W-9](#) to your assigned Project Manager or a [Wattsmart Business Vendor](#).
2. Participate in inspections prior to installation or removal of any equipment.
3. Incentive offer letter will be provided to eligible participants.
4. Complete project and notify your assigned Project Manager or [Wattsmart Business Vendor](#).
5. Provide all required documentation and participate in any required inspections.

Custom and energy management incentives:

1. Pre-qualification is required prior to equipment purchase/project implementation. Submit general application and [IRS Form W-9](#).
2. Participate in project scoping meeting(s), inspections and engineering analysis(s) prior to installation or removal of equipment. Provide additional supplemental applications or information as needed.
3. Incentive offer(s) will be provided to eligible participants. Return signed incentive offer within the required time.
4. Complete project and provide completion notification to your assigned Project Manager.
5. Provide all required documentation and participate in any required inspections.

**Incentive assignment to third party:** In the event that Rocky Mountain Power does not pay the incentive as a result of the participant's failure to comply with the terms and conditions, the assignee's sole recourse shall be against participant.

**Inspections and analysis:** Participant agrees to cooperate with Rocky Mountain Power and its consultants to conduct energy analysis and inspections at the participant's site. Rocky Mountain Power reserves the right to inspect qualifying equipment/energy management measures, which may include a telephone survey, site visit, and/or the installation of temporary monitoring equipment at any time up to 36 months after installation for quality control or program performance evaluations.

**Tax liability:** Neither Rocky Mountain Power nor its Program Administrator is providing tax advice or responsible for any tax liability which may be imposed on the participant as a result of any incentive payment. Participant may be responsible for the tax reporting to the IRS of any incentive payments directed to third parties.

**Incentive limitations & limitation of damages:** Participants may not receive custom incentives in lieu of typical (listed) incentives. Participants are responsible for ensuring that equipment installed for this program meets all applicable codes, standards, environmental regulations and regulatory requirements. Rocky Mountain Power does not warrant the performance of qualifying installed equipment/energy management measures and does not warrant that the qualifying installed equipment/energy management measures will deliver any specified amount of energy or cost savings. Participant shall independently evaluate any advice or direction given by Rocky Mountain Power or its consultants related to the estimates of electricity savings or the cost, selection or installation of qualifying equipment/energy management measures.

In no event will Rocky Mountain Power or its consultants be liable for the failure of the participant to achieve its expected amount of energy savings, for any personal injury or harm to participant's facilities of any kind, or for any incidental or consequential damages of any kind including hazardous material identification in connection with installation or inspection of qualifying equipment and energy management measures. Rocky Mountain Power is not responsible if a third party provides inaccurate information about the amount and/or conditions of the actual incentive and Rocky Mountain Power will not pay incentives for equipment that is mislabeled or misrepresented by third parties regarding incentive qualifications.

**Incentive offer:** The incentive offer is an estimate. The final incentive will be based on actual electric savings and approved actual project costs incurred by the participant for implemented approved energy efficiency measures. Participant agrees to provide any reasonable documentation to allow Rocky Mountain Power to determine electric savings and actual costs incurred. To the extent that Rocky Mountain Power determines (at its sole discretion) that any of the recommended measures have not been installed and commissioned in a satisfactory manner, participant shall receive a reduced incentive, if any, based on the inspection and verification of installed and commissioned measures.

**Incentive repayment obligation:** If Participant terminates a material portion of its electric service requirements from Rocky Mountain Power for Participant's Facility within up to 120 months of the date of the final incentive payment, Participant is obligated to repay the final incentive to Rocky Mountain Power within 30 days of written request. The repayment ("Repayment") will be determined as follows:  $\text{Repayment} = \text{final incentive} \times (120 - \text{Savings Delivery Term}) / 120$ , where Savings Delivery Term = number of months between the month the Final Incentive payment was made and the month the Facility terminated a material portion of its electric service. For determining the repayment, the dates will be the first day of the month in which they occur.

**Transfer of environmental attributes:** Participant hereby transfers to Rocky Mountain Power all "Environmental Attributes" attributable to the installation of the qualifying equipment or its operation. Environmental Attributes include any and all credits, benefits, emissions reductions, offsets and allowances, howsoever entitled, resulting from the avoidance of the emission of any substance to the air, soil or water at or by the company's generating facilities, through reduced generation of energy or other savings or offsets on account of the qualifying equipment. Participant will not claim ownership of any Environmental Attributes. As long as participant at the same time states the installation of the qualifying equipment was made possible with funding from Rocky Mountain Power, participant may claim that it is facilitating the production of the Environmental Attributes attributable to the qualifying equipment.

**Additional details:** Incentive qualifications and amounts are subject to change and termination at any time. Visit the program's website or contact a Wattsmart Business Vendor or Rocky Mountain Power for current program information.

**Confidential information:** Confidential information provided to Rocky Mountain Power or consultants shall not be disclosed to any third party. Confidential information shall mean data disclosed during the course of the energy analysis, and identified by the participant in writing as confidential. The obligation to protect confidential information will remain in force for two (2) years from the date the energy analysis is performed.

**Farm & Dairy  
Application Supplement - Wyoming**

To apply for Wattsmart Business incentives, complete this supplemental application and send it with the general incentive application to the address at right. Please review and check off each of the qualifying criteria below, as applicable.

- Equipment is installed and operating at site listed on general application.
- A copy of the dated sales receipt or invoice with itemized labor and material cost is enclosed.
- Manufacturer specification sheet for each item, as applicable, is enclosed.
- Application is submitted within six months after equipment was installed.

Participant Name \_\_\_\_\_

Installation Completion Date \_\_\_\_\_

Dealer or Contractor \_\_\_\_\_

Send completed application by mail, fax, or email to:

Wattsmart Business  
2162 West Grove Parkway, Suite 210  
Pleasant Grove, UT 84062

fax 801-756-8781  
wattsmartbusiness@rockymountainpower.net

For information or assistance with this application, please call **801-642-4472**

1) VARIABLE SPEED DRIVE (VFD) FOR DAIRY VACUUM PUMP (Retrofit only)			
Make & Model	Install Location	Quantity x Rate	Total
		hp x \$165 =	\$
<input type="checkbox"/> The VFD varies motor speed based on target vacuum level. <input type="checkbox"/> This incentive is available only for retrofit applications where there is an existing milking system operating without a VFD.			

2) MILK PRE-COOLER			
Make & Model	Install Location	Quantity x Rate	Total
		See note below	\$
<input type="checkbox"/> The pre-cooler cools milk with well water before the refrigeration system brings it down to final storage temperature. Note: Incentive is paid at the rate of \$0.15 per kWh of annual energy savings. Program staff will provide the energy savings estimate. Please call for assistance.			

3) HEAT RECOVERY FROM REFRIGERATION TO PREHEAT WATER			
Make & Model	Install Location	Quantity x Rate	Total
		See note below	\$
<input type="checkbox"/> Heat recovery system must use heat rejected from the milk refrigeration system to preheat water. <input type="checkbox"/> Electricity must be the fuel saved from installing heat recovery (i.e. not propane, natural gas, etc.) Note: Incentive is paid at the rate of \$0.15 per kWh of annual energy savings. Program staff will provide the energy savings estimate. Please call for assistance.			

4) PROGRAMMABLE VENTILATION CONTROLLER			
Make & Model	Install Location	Quantity x Rate	Total
		x \$20/fan =	\$
<input type="checkbox"/> Controls ventilation fans based on temperature or other environmental factor such as humidity, odor concentration, etc. Note: Incentive is paid at the rate of \$20 per fan controlled.			

**Farm & Dairy  
Application Supplement - Utah**

<b>5) HIGH EFFICIENCY CIRCULATING FANS</b>			
Category & Qualifying Criteria	Install Location	Quantity x Rate	Total
12-23" diameter, efficiency 11 cfm/watt or greater		x \$25/fan =	\$
24-35" diameter, efficiency 18 cfm/watt or greater		x \$35/fan =	\$
36-47" diameter, efficiency 18 cfm/watt or greater		x \$50/fan =	\$
≥48" diameter, efficiency 25 cfm/watt or greater		x \$75/fan =	\$

<b>6) HIGH EFFICIENCY VENTILATION FANS</b>			
Category & Qualifying Criteria	Install Location	Quantity x Rate	Total
12-23" diameter, efficiency 11 cfm/watt or greater		x \$45/fan =	\$
24-35" diameter, efficiency 13 cfm/watt or greater		x \$75/fan =	\$
36-47" diameter, efficiency 17 cfm/watt or greater		x \$125/fan =	\$
≥48" diameter, efficiency 19.5 cfm/watt or greater		x \$150/fan =	\$

<b>7) HIGH EFFICIENCY LIVESTOCK WATERER</b>			
Make & Model	Install Location	Quantity x Rate	Total
		x \$165/waterer =	\$
<input type="checkbox"/> Must have an electric heating element and two inches or more of insulation surrounding the inside of the waterer. <input type="checkbox"/> Waterers with a heating element greater than 250 watts must have an adjustable thermostat. Non-electric waterers do not qualify.			

**Notes for Farm & Dairy Incentive Table**

Incentive is capped at 70% of energy efficiency project cost, and incentives will not be available to reduce the energy efficiency project simple payback below one year. Energy savings and energy efficiency project costs are subject to Rocky Mountain Power approval.

Fan performance must be rated by an independent testing body in accordance with the appropriate ANSI/ACMA standards.

Except where noted, all equipment listed in the table is eligible for incentives in both new construction and retrofit projects.

# Request for Taxpayer Identification Number and Certification

**Give Form to the  
 requester. Do not  
 send to the IRS.**

▶ Go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9) for instructions and the latest information.

Print or type. See Specific Instructions on page 3.	<p><b>1</b> Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.</p>	
	<p><b>2</b> Business name/disregarded entity name, if different from above</p>	
	<p><b>3</b> Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only <b>one</b> of the following seven boxes.</p> <p> <input type="checkbox"/> Individual/sole proprietor or single-member LLC               <input type="checkbox"/> C Corporation               <input type="checkbox"/> S Corporation               <input type="checkbox"/> Partnership               <input type="checkbox"/> Trust/estate  <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ _____  <b>Note:</b> Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is <b>not</b> disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.  <input type="checkbox"/> Other (see instructions) ▶ _____         </p>	<p><b>4</b> Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):</p> <p>Exempt payee code (if any) _____</p> <p>Exemption from FATCA reporting code (if any) _____</p> <p><small>(Applies to accounts maintained outside the U.S.)</small></p>
	<p><b>5</b> Address (number, street, and apt. or suite no.) See instructions.</p>	<p>Requester's name and address (optional)</p>
	<p><b>6</b> City, state, and ZIP code</p>	
	<p><b>7</b> List account number(s) here (optional)</p>	

## Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

**Note:** If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

<b>Social security number</b>								
<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> </tr> <tr> <td style="text-align: center;">-</td> <td style="text-align: center;">-</td> <td></td> <td></td> </tr> </table>					-	-		
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<b>or</b>								
<b>Employer identification number</b>								
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## Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

<b>Sign Here</b>	<p>Signature of U.S. person ▶ _____</p>	<p>Date ▶ _____</p>
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## General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9).

### Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
  - Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
  - Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
  - Form 1099-S (proceeds from real estate transactions)
  - Form 1099-K (merchant card and third party network transactions)
  - Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
  - Form 1099-C (canceled debt)
  - Form 1099-A (acquisition or abandonment of secured property)
- Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

*If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.*