

## General Application

### PARTICIPANT INFORMATION

(Check will be issued to the participant business name and address listed below unless the payment release section below has been filled out)

Participant is (check all that apply)  Customer  Facility owner  Tenant/Electricity user

Participant business name (as shown on IRS Form W-9):

Mailing address:

City:

State:

Zip:

Contact name:

Contact title:

Contact telephone number:

( )

Cell number:

( )

Contact email address:

### PROJECT SITE INFORMATION

Facility/Project name:

Facility address:

City:

State:

Zip:

Commercial/industrial electric account #:

\_\_\_\_\_ - \_\_\_\_\_

Rate Schedule:

Electric meter number – seven or eight digits: (If multiple meters at site only enter one)

\_\_\_\_\_

Customer name: (As shown on bill)

Please provide a description of your project so we may better help you:

- Lighting: Retrofit
- Listed Equipment Incentives
- New Construction
- Custom or Energy Management Incentives
- Other: \_\_\_\_\_

Additional project information: (scope and schedule)

### INCENTIVE ASSIGNMENT (Complete only if incentive is to be assigned to someone other than participant above)

Check should be made out to:

Mailing address:

City:

State:

Zip:

Contact name:

Contact telephone:

### APPLICATION ACKNOWLEDGEMENT

By my signature below, I certify that all information provided for participation will be accurate including but not limited to supplemental material and claims of participant and equipment information. I confirm I have read, understand and agree with the terms and conditions and agree to be bound by them. I authorize Rocky Mountain Power to provide my electric account information, this application and the attached W-9 to consultants associated with the Wattsmart Business program.

\_\_\_\_\_  
Signatory name & title (please print)

\_\_\_\_\_  
Participant signature

\_\_\_\_\_  
Date

## Terms and Conditions

### How to participate:

Typical incentives:

1. Pre-qualification is highly recommended, but not required.
2. Purchase and install qualifying equipment.
3. Complete general application, technology specific supplemental equipment form(s), and [IRS Form W-9](#).
4. Submit application and all required supplemental forms as directed on supplemental form(s).

Lighting retrofit incentives:

1. Pre-qualification is required prior to project implementation. Submit general application and [IRS Form W-9](#) to your assigned Project Manager or a [Wattsmart Business Vendor](#).
2. Participate in inspections prior to installation or removal of any equipment.
3. Incentive offer letter will be provided to eligible participants.
4. Complete project and notify your assigned Project Manager or [Wattsmart Business Vendor](#).
5. Provide all required documentation and participate in any required inspections.

Custom and energy management incentives:

1. Pre-qualification is required prior to equipment purchase/project implementation. Submit general application and [IRS Form W-9](#).
2. Participate in project scoping meeting(s), inspections and engineering analysis(s) prior to installation or removal of equipment. Provide additional supplemental applications or information as needed.
3. Incentive offer(s) will be provided to eligible participants. Return signed incentive offer within the required time.
4. Complete project and provide completion notification to your assigned Project Manager.
5. Provide all required documentation and participate in any required inspections.

**Incentive assignment to third party:** In the event that Rocky Mountain Power does not pay the incentive as a result of the participant's failure to comply with the terms and conditions, the assignee's sole recourse shall be against participant.

**Inspections and analysis:** Participant agrees to cooperate with Rocky Mountain Power and its consultants to conduct energy analysis and inspections at the participant's site. Rocky Mountain Power reserves the right to inspect qualifying equipment/energy management measures, which may include a telephone survey, site visit, and/or the installation of temporary monitoring equipment at any time up to 36 months after installation for quality control or program performance evaluations.

**Tax liability:** Neither Rocky Mountain Power nor its Program Administrator is providing tax advice or responsible for any tax liability which may be imposed on the participant as a result of any incentive payment. Participant may be responsible for the tax reporting to the IRS of any incentive payments directed to third parties.

**Incentive limitations & limitation of damages:** Participants may not receive custom incentives in lieu of typical (listed) incentives. Participants are responsible for ensuring that equipment installed for this program meets all applicable codes, standards, environmental regulations and regulatory requirements. Rocky Mountain Power does not warrant the performance of qualifying installed equipment/energy management measures and does not warrant that the qualifying installed equipment/energy management measures will deliver any specified amount of energy or cost savings. Participant shall independently evaluate any advice or direction given by Rocky Mountain Power or its consultants related to the estimates of electricity savings or the cost, selection or installation of qualifying equipment/energy management measures.

In no event will Rocky Mountain Power or its consultants be liable for the failure of the participant to achieve its expected amount of energy savings, for any personal injury or harm to participant's facilities of any kind, or for any incidental or consequential damages of any kind including hazardous material identification in connection with installation or inspection of qualifying equipment and energy management measures. Rocky Mountain Power is not responsible if a third party provides inaccurate information about the amount and/or conditions of the actual incentive and Rocky Mountain Power will not pay incentives for equipment that is mislabeled or misrepresented by third parties regarding incentive qualifications.

**Incentive offer:** The incentive offer is an estimate. The final incentive will be based on actual electric savings and approved actual project costs incurred by the participant for implemented approved energy efficiency measures. Participant agrees to provide any reasonable documentation to allow Rocky Mountain Power to determine electric savings and actual costs incurred. To the extent that Rocky Mountain Power determines (at its sole discretion) that any of the recommended measures have not been installed and commissioned in a satisfactory manner, participant shall receive a reduced incentive, if any, based on the inspection and verification of installed and commissioned measures.

**Incentive repayment obligation:** If Participant terminates a material portion of its electric service requirements from Rocky Mountain Power for Participant's Facility within 60 months of the date of the final incentive payment, and the Facility remains in operation, Participant is obligated to repay the final incentive to Rocky Mountain Power within 30 days of written request. The repayment ("Repayment") will be determined as follows:  $\text{Repayment} = \text{final incentive} \times (60 - \text{Savings Delivery Term}) / 60$ , where Savings Delivery Term = number of months between the month the Final Incentive payment was made and the month the Facility terminated a material portion of its electric service. For determining the repayment, the dates will be the first day of the month in which they occur.

**Transfer of environmental attributes:** Participant hereby transfers to Rocky Mountain Power all "Environmental Attributes" attributable to the installation of the qualifying equipment or its operation. Environmental Attributes include any and all credits, benefits, emissions reductions, offsets and allowances, howsoever entitled, resulting from the avoidance of the emission of any substance to the air, soil or water at or by the company's generating facilities, through reduced generation of energy or other savings or offsets on account of the qualifying equipment. Participant will not claim ownership of any Environmental Attributes. As long as participant at the same time states the installation of the qualifying equipment was made possible with funding from Rocky Mountain Power, participant may claim that it is facilitating the production of the Environmental Attributes attributable to the qualifying equipment.

**Additional details:** Incentive qualifications and amounts are subject to change and termination at any time. Visit the program's website or contact a Wattsmart Business Vendor or Rocky Mountain Power for current program information.

**Confidential information:** Confidential information provided to Rocky Mountain Power or consultants shall not be disclosed to any third party. Confidential information shall mean data disclosed during the course of the energy analysis, and identified by the participant in writing as confidential. The obligation to protect confidential information will remain in force for two (2) years from the date the energy analysis is performed.

## HVAC – Evaporative Cooling – Utah

To apply for Wattsmart Business incentives, complete this application supplement and send it with the general incentive application to the address to the right. Please review and confirm each of the qualifying criteria below, as applicable.

Send completed application by email, fax, or mail:

wattsmartbusiness@rockymountainpower.net

Fax: 1-801-266-4786

Wattsmart Business  
2223 S Highland Drive #E6-333  
Salt Lake City, UT 84106

For additional information or assistance, please call **1-866-870-3419**

- Equipment is installed and operating at the site listed on the general application.
- The dated sales receipt or invoice is included with labor and material costs itemized.
- Manufacturer’s specification sheet for each installed equipment model is included.
- Any additional information or documentation is included as listed in the tables below.
- Completed application is submitted within six months of project completion.

Equipment may be subject to inspection or request for additional information prior to incentive payment. Incomplete applications may result in delay or denial of incentive payment.

### PROJECT INFORMATION

Select construction type:	<input type="checkbox"/> Retrofit	<input type="checkbox"/> Major Renovation	<input type="checkbox"/> New Construction
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EVAPORATIVE COOLING			Vendor:		Install date:		
Manufacturer	Model #	Serial #	Install location	ISR <sup>1</sup> CFM	Incentive	Qty	Total incentive
				x	\$0.06	x	
				x	\$0.06	x	

Please confirm the following:

- Only evaporative cooling is installed in the cooled space
- Manufacturer’s specification lists Industry Standard Rating CFM<sup>1</sup>
- Evaporative cooling unit is not a combined direct/indirect unit<sup>2</sup>

1. If Industry Standard Rating (ISR) is not available, please contact us for additional eligible ratings.  
2. To qualify for this incentive evaporative cooling units must be standalone units. Incentives are also available for cooling systems that use a combination of direct evaporative, indirect evaporative, and/or mechanical cooling. To apply for incentives for systems combining direct and indirect evaporative cooling, please complete the IDEC section of this application.

INDIRECT/DIRECT EVAPORATIVE COOLING (IDEC)		Vendor:		Install date:	
Design air flow (CFM)		Total static pressure (H <sub>2</sub> O)			
Supply fansize (hp):		Building square footage			
Chiller plant status		<input type="checkbox"/> New <input type="checkbox"/> Existing			
Indirect Evaporative Stage	<input type="checkbox"/> Return Air Evap. Media, Air-Air Heat Exchanger		<input type="checkbox"/> Water Cooling Tower-Coil		<input type="checkbox"/> N/A
	<input type="checkbox"/> Return Air Evap. Media, Coil-Coil Heat Exchanger		<input type="checkbox"/> Multi-Stage Indirect Evap. Media		
Direct Evaporative Stage	<input type="checkbox"/> Supply Air, Direct Evaporation Media		<input type="checkbox"/> N/A		
Chilled Water Stage	<input type="checkbox"/> Chilled Water Coil, Air Cooled		<input type="checkbox"/> DX Refrigerant Coil, Air Cooled		
	<input type="checkbox"/> Chilled Water Coil, Water Cooled		<input type="checkbox"/> N/A		
System Operating Hours					
		Mon - Fri	Saturday	Sunday	
System start-up time					
System shut-down time					
Incentives are paid at \$0.15/kWh of annual energy savings as determined by Rocky Mountain Power.					

**HVAC – Evaporative Cooling – Utah**

EVAPORATIVE PRE-COOLING (RETROFIT ONLY)			Vendor:	Install date:		
Manufacturer	Model #	Performance efficiency <sup>1</sup>	Air cond./heat pump model	Incentive	Connected Tons <sup>2</sup>	Total incentive
				\$75 x		
				\$75 x		
				\$75 x		
				\$75 x		

Please confirm the following:

- |   |  |
|---|--|
| <ul style="list-style-type: none"> <li><input type="checkbox"/> Unit(s) connected to air cooled air conditioner or heat pump package rooftop or matched split system condenser(s)</li> <li><input type="checkbox"/> Air conditioner/heat pump is used only for comfort cooling (no refrigeration or process cooling)</li> <li><input type="checkbox"/> Manufacturer's specification lists evaporative performance efficiency<sup>1</sup></li> <li><input type="checkbox"/> A copy of the site-specific operations manual has been provided<sup>3</sup></li> <li><input type="checkbox"/> Water supply has chemical or mechanical water treatment and is managed<sup>4</sup> to optimize equipment performance</li> <li><input type="checkbox"/> Water, mist, or spray does not come in contact with condenser coil</li> </ul> | <ul style="list-style-type: none"> <li><input type="checkbox"/> Pre-cooler system is equipped with controls that limit water use based on compressor/condenser on/off signal and current weather conditions<sup>5</sup></li> <li><input type="checkbox"/> Pre-cooler system is firmly affixed to all outside air intakes serving the condensers<sup>6</sup></li> <li><input type="checkbox"/> All pre-cooler media and/or water distribution components are housed in a self-contained framing/cabinet<sup>7</sup></li> <li><input type="checkbox"/> Controls and electrical components are enclosed in weather proof cabinet</li> <li><input type="checkbox"/> A copy of maintenance contract with a minimum 36-month term is included</li> </ul> |
|---|--|

1. To be eligible for incentives, units must have an evaporative performance efficiency of at least 75% (dry bulb temperature reduction achieved divided by the wet bulb depression) as provided by the manufacturer.
2. Incentives are paid at \$75/connected ton cooling capacity. Incentives for Evaporative Pre-coolers are capped at 70 percent of Energy Efficiency Project Costs and incentives will not be available to reduce the Energy Efficiency Project simple payback below one year. Energy Efficiency Project Costs are subject to Rocky Mountain Power approval.
3. Operations manual provides site specific information needed to keep the system operating correctly and must include at a minimum: modes of operation, control strategies, maintenance procedures and intervals, troubleshooting guidelines, and de-commissioning instructions.
4. If sump with recirculation system is used, sump must have periodic purge control. If the system does not use a sump/recirculation system, water pressure must be constant and correct for the system operation. Building supply water pressure must be shown to be adequate and consistent for each pre-cooler unit or the system must include a booster pump. Supply water conditions/booster pump operation parameters must be included in the operations manual.
5. Controls must switch off water flow when outdoor air temperature drops below a minimum setting and when weather conditions prevent the equipment from operating correctly. Duration and volume of water flow shall be calibrated such that no excess water accumulates below the saturated membrane.
6. Pre-cooler systems must be connected to the air conditioner or heat pump condenser(s) in such a way that all air serving the condensers passes through pre-cooler system and other functions of the air conditioner or heat pump are not disturbed.
7. Housing must provide adequate structure and support for all media and/or water distribution components to maintain pre-cooler system functionality and integrity. Housing must also provide protection from outside elements that can damage pre-cooler components or compromise system effectiveness, such as wind, debris, and sun-damage.

## Request for Taxpayer Identification Number and Certification

**Give Form to the  
 requester. Do not  
 send to the IRS.**

▶ Go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9) for instructions and the latest information.

Print or type. See Specific Instructions on page 3.	<b>1</b> Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.	
	<b>2</b> Business name/disregarded entity name, if different from above	
	<b>3</b> Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only <b>one</b> of the following seven boxes.  <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate  <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ _____ <b>Note:</b> Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is <b>not</b> disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.  <input type="checkbox"/> Other (see instructions) ▶ _____	<b>4</b> Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):  Exempt payee code (if any) _____  Exemption from FATCA reporting code (if any) _____  <i>(Applies to accounts maintained outside the U.S.)</i>
	<b>5</b> Address (number, street, and apt. or suite no.) See instructions.	Requester's name and address (optional)
	<b>6</b> City, state, and ZIP code	
	<b>7</b> List account number(s) here (optional)	

**Part I Taxpayer Identification Number (TIN)**

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

**Note:** If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

	<b>Social security number</b> [ ][ ]-[ ][ ]-[ ][ ][ ][ ]
	<b>or</b> <b>Employer identification number</b> [ ][ ]-[ ][ ][ ][ ][ ][ ][ ]

**Part II Certification**

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

<b>Sign Here</b>	Signature of U.S. person ▶	Date ▶
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**General Instructions**

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9).

**Purpose of Form**

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

*If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.*