

## General Application

### PARTICIPANT INFORMATION

(Check will be issued to the participant business name and address listed below unless the payment release section below has been filled out)

Participant is (check all that apply)  Customer  Facility owner  Tenant/Electricity user

Participant business name (as shown on IRS Form W-9):

Mailing address:

City:

State:

Zip:

Contact name:

Contact title:

Contact telephone number:

( )

Cell number:

( )

Contact email address:

### PROJECT SITE INFORMATION

Facility/Project name:

Facility address:

City:

State:

Zip:

Commercial/industrial electric account #:

\_\_\_\_\_ - \_\_\_\_\_

Rate Schedule:

Electric meter number – seven or eight digits: (If multiple meters at site only enter one)

\_\_\_\_\_

Customer name: (As shown on bill)

Please provide a description of your project so we may better help you:

- Lighting: Retrofit
- Listed Equipment Incentives
- New Construction
- Custom or Energy Management Incentives
- Other: \_\_\_\_\_

Additional project information: (scope and schedule)

### INCENTIVE ASSIGNMENT (Complete only if incentive is to be assigned to someone other than participant above)

Check should be made out to:

Mailing address:

City:

State:

Zip:

Contact name:

Contact telephone:

### APPLICATION ACKNOWLEDGEMENT

By my signature below, I certify that all information provided for participation will be accurate including but not limited to supplemental material and claims of participant and equipment information. I confirm I have read, understand and agree with the terms and conditions and agree to be bound by them. I authorize Rocky Mountain Power to provide my electric account information, this application and the attached W-9 to consultants associated with the Wattsmart Business program.

\_\_\_\_\_  
Signatory name & title (please print)

\_\_\_\_\_  
Participant signature

\_\_\_\_\_  
Date

**HVAC – Advanced Rooftop Controls - Utah**

To apply for Wattsmart Business incentives, complete this application supplement and send it with the general incentive application and IRS Form W-9 to the address to the right. Please review and confirm each of the qualifying criteria below, as applicable.

Send completed application by email, fax, or mail:

wattsmartbusiness@rockymountainpower.net

Fax: 1-801-266-4786

Wattsmart Business  
2223 S Highland Drive #E6-333  
Salt Lake City, UT 84106

For additional information or assistance, please call **1-866-870-3419**

- Equipment is installed and operating at the site listed on the general application.
- The dated sales receipt or invoice is included listing equipment model, and quantity installed with associated labor and material costs itemized.
- Manufacturer’s specification sheet for each installed equipment model is included.
- Any additional information or documentation is included as listed in the tables below.
- Completed application is submitted within six months of project completion.

Equipment may be subject to inspection or request for additional information prior to incentive payment. Incomplete applications may result in delay or denial of incentive payment

PROJECT INFORMATION					
Select construction type:	<input type="checkbox"/> Retrofit	<input type="checkbox"/> Major Renovation	<input type="checkbox"/> New Construction		
Control Manufacturer:				Install Date:	
Rooftop unit heating source:	<input type="checkbox"/> Gas	<input type="checkbox"/> Heat Pump	<input type="checkbox"/> Other (please specify): _____		
Facility Type (Circle closest type)	Large Office	Warehouse	Primary School	Quick Service Restaurant	Outpatient Health Care
	Medium Office	Stand-alone Retail	Secondary School	Full Service Restaurant	Small Hotel
	Small Office	Strip Mall	Supermarket	Hospital	Large Hotel
	Other : _____				

Note: Each RTU may receive only one of the three listed advanced rooftop control incentive options.

**OPTION I - ADVANCED ROOFTOP CONTROLS (EXISTING RTU)**

RTU Size (Tons)	RTU Model	Control Model	Supply Fan HP	RTU Qty	Incentive/RTU <sup>1</sup>	Total Incentive

- Please confirm the following:
- Control is installed on existing unitary package rooftop unit (no split-systems).
  - Existing rooftop unit is between 1 and 15 years old (based on manufacture date). Equipment older than 15 years requires pre-approval.
  - Control replaces constant speed fan motor with either a supply fan VFD or multi-speed supply fan motor with controller that meets ventilation and space conditioning needs.
  - Control includes CO<sup>2</sup> or occupancy-based sensor that determines ventilation and space conditioning needs.
  - Control includes digital, integrated economizer controls.

1. See Table I & II below

**HVAC – Advanced Rooftop Controls - Utah**

**OPTION 2 - ADVANCED ROOFTOP CONTROLS (NEW RTU)**

RTU Size (Tons)	RTU Model	Control Model	Supply Fan HP	RTU Qty	Incentive/RTU <sup>1</sup>	Total Incentive

Please confirm the following:

- Control is installed on new package rooftop unit (no splits).
- Rooftop unit is less than 1 year old (based on manufacture date).
- Equipment utilizes either a supply fan VFD or multi-speed supply fan motor with controller that meets ventilation and space conditioning needs.
- Control includes CO<sup>2</sup> or occupancy-based sensor that determines ventilation and space conditioning needs.
- Control includes digital, integrated economizer controls.

Please indicate which most accurately describes your situation:

- Previous RTU was still functioning, but we are electing to replace it with a newer RTU.
- Previous RTU was no longer functioning, and we need to replace it with a newer RTU.
- New RTU is being installed in new construction.

I. See Table I & II below

**OPTION 3 - DEMAND CONTROL VENTILATION (EXISTING RTU)**

RTU Size (Tons)	RTU Model	Control Model	Supply Fan HP	RTU Qty	Incentive/RTU <sup>1</sup>	Total Incentive

Please confirm the following:

- Control is installed on an existing package rooftop unit (no splits).
- Existing rooftop unit is less than 15 years old (based on manufacturer date). Equipment older than 15 years requires pre-approval.
- Includes CO<sup>2</sup> or occupancy based sensor.
- Control includes digital, integrated economizer controls that modulate based on occupancy.

I. See Table I & II below

**HVAC – Advanced Rooftop Controls - Utah**

<b>Table I - Gas Fired RTU</b>			
RTU Size Range	Incentive/RTU		
	Retrofit	New RTU	DCV Only
< 5 tons	\$500	\$400	\$300
≥ 5 tons and ≤ 10 tons	\$2,000	\$1,200	\$500
> 10 tons and ≤ 15 tons	\$2,800	\$1,800	\$600
> 15 tons and ≤ 20 tons	\$4,000	\$2,500	\$700
> 20 tons	\$4,500	\$2,800	\$800

Notes:  
 Incentives are paid per rooftop unit with qualifying controller installed. Incentives vary by tonnage of RTU controlled.

- RTU = Rooftop Unit
- HP = Horse Power

<b>Table II - Heat Pump RTU</b>			
RTU Size Range	Incentive/RTU		
	Retrofit	New RTU	DCV Only
< 5 tons	\$500	\$400	\$300
≥ 5 tons and ≤ 10 tons	\$2,900	\$1,700	\$500
> 10 tons and ≤ 15 tons	\$4,000	\$2,600	\$600
> 15 tons and ≤ 20 tons	\$5,800	\$3,600	\$700
> 20 tons	\$6,500	\$4,000	\$800

Notes:  
 Incentives are paid per rooftop unit with qualifying controller installed. Incentives vary by tonnage of RTU controlled.

- RTU = Rooftop Unit
- HP = Horse Power

## Terms and Conditions

### How to participate:

Typical incentives:

1. Pre-qualification is highly recommended, but not required.
2. Purchase and install qualifying equipment.
3. Complete general application, technology specific supplemental equipment form(s), and [IRS Form W-9](#).
4. Submit application and all required supplemental forms as directed on supplemental form(s).

Lighting retrofit incentives:

1. Pre-qualification is required prior to project implementation. Submit general application and [IRS Form W-9](#) to your assigned Project Manager or a [Wattsmart Business Vendor](#).
2. Participate in inspections prior to installation or removal of any equipment.
3. Incentive offer letter will be provided to eligible participants.
4. Complete project and notify your assigned Project Manager or [Wattsmart Business Vendor](#).
5. Provide all required documentation and participate in any required inspections.

Custom and energy management incentives:

1. Pre-qualification is required prior to equipment purchase/project implementation. Submit general application and [IRS Form W-9](#).
2. Participate in project scoping meeting(s), inspections and engineering analysis(s) prior to installation or removal of equipment. Provide additional supplemental applications or information as needed.
3. Incentive offer(s) will be provided to eligible participants. Return signed incentive offer within the required time.
4. Complete project and provide completion notification to your assigned Project Manager.
5. Provide all required documentation and participate in any required inspections.

**Incentive assignment to third party:** In the event that Rocky Mountain Power does not pay the incentive as a result of the participant's failure to comply with the terms and conditions, the assignee's sole recourse shall be against participant.

**Inspections and analysis:** Participant agrees to cooperate with Rocky Mountain Power and its consultants to conduct energy analysis and inspections at the participant's site. Rocky Mountain Power reserves the right to inspect qualifying equipment/energy management measures, which may include a telephone survey, site visit, and/or the installation of temporary monitoring equipment at any time up to 36 months after installation for quality control or program performance evaluations.

**Tax liability:** Neither Rocky Mountain Power nor its Program Administrator is providing tax advice or responsible for any tax liability which may be imposed on the participant as a result of any incentive payment. Participant may be responsible for the tax reporting to the IRS of any incentive payments directed to third parties.

**Incentive limitations & limitation of damages:** Participants may not receive custom incentives in lieu of typical (listed) incentives. Participants are responsible for ensuring that equipment installed for this program meets all applicable codes, standards, environmental regulations and regulatory requirements. Rocky Mountain Power does not warrant the performance of qualifying installed equipment/energy management measures and does not warrant that the qualifying installed equipment/energy management measures will deliver any specified amount of energy or cost savings. Participant shall independently evaluate any advice or direction given by Rocky Mountain Power or its consultants related to the estimates of electricity savings or the cost, selection or installation of qualifying equipment/energy management measures.

In no event will Rocky Mountain Power or its consultants be liable for the failure of the participant to achieve its expected amount of energy savings, for any personal injury or harm to participant's facilities of any kind, or for any incidental or consequential damages of any kind including hazardous material identification in connection with installation or inspection of qualifying equipment and energy management measures. Rocky Mountain Power is not responsible if a third party provides inaccurate information about the amount and/or conditions of the actual incentive and Rocky Mountain Power will not pay incentives for equipment that is mislabeled or misrepresented by third parties regarding incentive qualifications.

**Incentive offer:** The incentive offer is an estimate. The final incentive will be based on actual electric savings and approved actual project costs incurred by the participant for implemented approved energy efficiency measures. Participant agrees to provide any reasonable documentation to allow Rocky Mountain Power to determine electric savings and actual costs incurred. To the extent that Rocky Mountain Power determines (at its sole discretion) that any of the recommended measures have not been installed and commissioned in a satisfactory manner, participant shall receive a reduced incentive, if any, based on the inspection and verification of installed and commissioned measures.

**Incentive repayment obligation:** If Participant terminates a material portion of its electric service requirements from Rocky Mountain Power for Participant's Facility within 60 months of the date of the final incentive payment, and the Facility remains in operation, Participant is obligated to repay the final incentive to Rocky Mountain Power within 30 days of written request. The repayment ("Repayment") will be determined as follows:  $\text{Repayment} = \text{final incentive} \times (60 - \text{Savings Delivery Term}) / 60$ , where Savings Delivery Term = number of months between the month the Final Incentive payment was made and the month the Facility terminated a material portion of its electric service. For determining the repayment, the dates will be the first day of the month in which they occur.

**Transfer of environmental attributes:** Participant hereby transfers to Rocky Mountain Power all "Environmental Attributes" attributable to the installation of the qualifying equipment or its operation. Environmental Attributes include any and all credits, benefits, emissions reductions, offsets and allowances, howsoever entitled, resulting from the avoidance of the emission of any substance to the air, soil or water at or by the company's generating facilities, through reduced generation of energy or other savings or offsets on account of the qualifying equipment. Participant will not claim ownership of any Environmental Attributes. As long as participant at the same time states the installation of the qualifying equipment was made possible with funding from Rocky Mountain Power, participant may claim that it is facilitating the production of the Environmental Attributes attributable to the qualifying equipment.

**Additional details:** Incentive qualifications and amounts are subject to change and termination at any time. Visit the program's website or contact a Wattsmart Business Vendor or Rocky Mountain Power for current program information.

**Confidential information:** Confidential information provided to Rocky Mountain Power or consultants shall not be disclosed to any third party. Confidential information shall mean data disclosed during the course of the energy analysis, and identified by the participant in writing as confidential. The obligation to protect confidential information will remain in force for two (2) years from the date the energy analysis is performed.

