

General Application

PARTICIPANT INFORMATION

(Check will be issued to the participant business name and address listed below unless the payment release section below has been filled out)

Participant is (check all that apply) Customer Facility owner Tenant/Electricity user

Participant business name (as shown on IRS Form W-9):

Mailing address:

City:

State:

Zip:

Contact name:

Contact title:

Contact telephone number:

()

Cell number:

()

Contact email address:

PROJECT SITE INFORMATION

Facility/Project name:

Facility address:

City:

State:

Zip:

Commercial/industrial electric account #:

_____ - _____

Rate Schedule:

Electric meter number – seven or eight digits: (If multiple meters at site only enter one)

Customer name: (As shown on bill)

Please provide a description of your project so we may better help you:

- Lighting: Retrofit
- Listed Equipment Incentives
- New Construction
- Custom or Energy Management Incentives
- Other: _____

Additional project information: (scope and schedule)

INCENTIVE ASSIGNMENT (Complete only if incentive is to be assigned to someone other than participant above)

Check should be made out to:

Mailing address:

City:

State:

Zip:

Contact name:

Contact telephone:

APPLICATION ACKNOWLEDGEMENT

By my signature below, I certify that all information provided for participation will be accurate including but not limited to supplemental material and claims of participant and equipment information. I confirm I have read, understand and agree with the terms and conditions and agree to be bound by them. I authorize Rocky Mountain Power to provide my electric account information, this application and the attached W-9 to consultants associated with the Wattsmart Business program.

Signatory name & title (please print)

Participant signature

Date

Terms and Conditions

How to participate:

Typical incentives:

1. Pre-qualification is highly recommended, but not required.
2. Purchase and install qualifying equipment.
3. Complete general application, technology specific supplemental equipment form(s), and [IRS Form W-9](#).
4. Submit application and all required supplemental forms as directed on supplemental form(s).

Lighting retrofit incentives:

1. Pre-qualification is required prior to project implementation. Submit general application and [IRS Form W-9](#) to your assigned Project Manager or a [Wattsmart Business Vendor](#).
2. Participate in inspections prior to installation or removal of any equipment.
3. Incentive offer letter will be provided to eligible participants.
4. Complete project and notify your assigned Project Manager or [Wattsmart Business Vendor](#).
5. Provide all required documentation and participate in any required inspections.

Custom and energy management incentives:

1. Pre-qualification is required prior to equipment purchase/project implementation. Submit general application and [IRS Form W-9](#).
2. Participate in project scoping meeting(s), inspections and engineering analysis(s) prior to installation or removal of equipment. Provide additional supplemental applications or information as needed.
3. Incentive offer(s) will be provided to eligible participants. Return signed incentive offer within the required time.
4. Complete project and provide completion notification to your assigned Project Manager.
5. Provide all required documentation and participate in any required inspections.

Incentive assignment to third party: In the event that Rocky Mountain Power does not pay the incentive as a result of the participant's failure to comply with the terms and conditions, the assignee's sole recourse shall be against participant.

Inspections and analysis: Participant agrees to cooperate with Rocky Mountain Power and its consultants to conduct energy analysis and inspections at the participant's site. Rocky Mountain Power reserves the right to inspect qualifying equipment/energy management measures, which may include a telephone survey, site visit, and/or the installation of temporary monitoring equipment at any time up to 36 months after installation for quality control or program performance evaluations.

Tax liability: Neither Rocky Mountain Power nor its Program Administrator is providing tax advice or responsible for any tax liability which may be imposed on the participant as a result of any incentive payment. Participant may be responsible for the tax reporting to the IRS of any incentive payments directed to third parties.

Incentive limitations & limitation of damages: Participants may not receive custom incentives in lieu of typical (listed) incentives. Participants are responsible for ensuring that equipment installed for this program meets all applicable codes, standards, environmental regulations and regulatory requirements. Rocky Mountain Power does not warrant the performance of qualifying installed equipment/energy management measures and does not warrant that the qualifying installed equipment/energy management measures will deliver any specified amount of energy or cost savings. Participant shall independently evaluate any advice or direction given by Rocky Mountain Power or its consultants related to the estimates of electricity savings or the cost, selection or installation of qualifying equipment/energy management measures.

In no event will Rocky Mountain Power or its consultants be liable for the failure of the participant to achieve its expected amount of energy savings, for any personal injury or harm to participant's facilities of any kind, or for any incidental or consequential damages of any kind including hazardous material identification in connection with installation or inspection of qualifying equipment and energy management measures. Rocky Mountain Power is not responsible if a third party provides inaccurate information about the amount and/or conditions of the actual incentive and Rocky Mountain Power will not pay incentives for equipment that is mislabeled or misrepresented by third parties regarding incentive qualifications.

Incentive offer: The incentive offer is an estimate. The final incentive will be based on actual electric savings and approved actual project costs incurred by the participant for implemented approved energy efficiency measures. Participant agrees to provide any reasonable documentation to allow Rocky Mountain Power to determine electric savings and actual costs incurred. To the extent that Rocky Mountain Power determines (at its sole discretion) that any of the recommended measures have not been installed and commissioned in a satisfactory manner, participant shall receive a reduced incentive, if any, based on the inspection and verification of installed and commissioned measures.

Incentive repayment obligation: If Participant terminates a material portion of its electric service requirements from Rocky Mountain Power for Participant's Facility within 60 months of the date of the final incentive payment, and the Facility remains in operation, Participant is obligated to repay the final incentive to Rocky Mountain Power within 30 days of written request. The repayment ("Repayment") will be determined as follows: $\text{Repayment} = \text{final incentive} \times (60 - \text{Savings Delivery Term}) / 60$, where Savings Delivery Term = number of months between the month the Final Incentive payment was made and the month the Facility terminated a material portion of its electric service. For determining the repayment, the dates will be the first day of the month in which they occur.

Transfer of environmental attributes: Participant hereby transfers to Rocky Mountain Power all "Environmental Attributes" attributable to the installation of the qualifying equipment or its operation. Environmental Attributes include any and all credits, benefits, emissions reductions, offsets and allowances, howsoever entitled, resulting from the avoidance of the emission of any substance to the air, soil or water at or by the company's generating facilities, through reduced generation of energy or other savings or offsets on account of the qualifying equipment. Participant will not claim ownership of any Environmental Attributes. As long as participant at the same time states the installation of the qualifying equipment was made possible with funding from Rocky Mountain Power, participant may claim that it is facilitating the production of the Environmental Attributes attributable to the qualifying equipment.

Additional details: Incentive qualifications and amounts are subject to change and termination at any time. Visit the program's website or contact a Wattsmart Business Vendor or Rocky Mountain Power for current program information.

Confidential information: Confidential information provided to Rocky Mountain Power or consultants shall not be disclosed to any third party. Confidential information shall mean data disclosed during the course of the energy analysis, and identified by the participant in writing as confidential. The obligation to protect confidential information will remain in force for two (2) years from the date the energy analysis is performed.

HVAC – Air Conditioners, Heat Pumps & VRF – Idaho

To apply for Wattsmart Business incentives, complete this application supplement and send it with the general incentive application to the address to the right. Please review and confirm each of the qualifying criteria below, as applicable.

Send completed application by email, fax, or mail:

wattsmartbusiness@rockymountainpower.net

Fax: 1-801-266-4786

Wattsmart Business
2223 S Highland Drive #E6-333
Salt Lake City, UT 84106

For additional information or assistance, please call **1-866-870-3419**

- Equipment is installed and operating at the site listed on the general application.
- The dated sales receipt or invoice is included with labor and material costs itemized.
- Manufacturer's specification sheet for each installed equipment model is included.
- Any additional information or documentation is included as listed in the tables below.
- Completed application is submitted within six months of project completion.

Equipment may be subject to inspection or request for additional information prior to incentive payment. Incomplete applications may result in delay or denial of incentive payment.

PROJECT INFORMATION

Select construction type:	<input type="checkbox"/> Retrofit	<input type="checkbox"/> Major Renovation	<input type="checkbox"/> New Construction
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UNITARY AIR CONDITIONERS (AIR-COOLED): \$50 - \$75/TON				Vendor:		Install date:		
System type	Manufacturer	Model number(s)	Serial number	AHRI number	Cooling capacity (Btu/hr)	EER	SEER/IEER	Qty
<input type="checkbox"/> Package <input type="checkbox"/> Split system								
<input type="checkbox"/> Package <input type="checkbox"/> Split system								
<input type="checkbox"/> Package <input type="checkbox"/> Split system								
<input type="checkbox"/> Package <input type="checkbox"/> Split system								
<input type="checkbox"/> Package <input type="checkbox"/> Split system								
<input type="checkbox"/> Package <input type="checkbox"/> Split system								

UNITARY HEAT PUMPS (AIR-COOLED): \$50/TON				Vendor:			Install date:		
System type	Manufacturer	Model number(s)	Serial number	AHRI number	Cooling capacity (Btu/hr)	EER	SEER or SEER2 & IEER	COP & HSPF or HSPF2	Qty
<input type="checkbox"/> Package <input type="checkbox"/> Split system									
<input type="checkbox"/> Package <input type="checkbox"/> Split system									
<input type="checkbox"/> Package <input type="checkbox"/> Split system									
<input type="checkbox"/> Package <input type="checkbox"/> Split system									
<input type="checkbox"/> Package <input type="checkbox"/> Split system									
<input type="checkbox"/> Package <input type="checkbox"/> Split system									

1. Incentives are available per ton of cooling capacity ONLY. No incentives are paid per ton of heating capacity. Heat pumps must meet both the cooling mode and heating mode efficiency requirements to qualify for per ton cooling efficiency incentives.
2. Equipment size categories and capacities are specified in terms of net cooling capacity at AHRI standard conditions for equipment as determined by AHRI Standard 210/240 for units < 65,000 Btu/hr and AHRI Standard 340/360 for units ≥ 65,000 Btu/hr.
3. Incentives are offered per ton of cooling capacity for air-cooled equipment meeting or exceeding minimum efficiency standards as listed in the efficiency requirements table below.

AHRI = Air-conditioning, Heating, and Refrigeration Institute
 BTU = British Thermal Unit
 CEE = Consortium for Energy Efficiency
 COP = Coefficient of Performance

EER = Energy Efficiency Ratio
 IEER = Integrated Energy Efficiency Ratio
 HSPF = Heating Seasonal Performance Factor
 SEER = Seasonal Energy Efficiency Ratio

HVAC – Air Conditioners, Heat Pumps & VRF – Idaho

UNITARY A/C (WATER/EVAPORATIVELY COOLED): \$50/TON				Vendor:		Install date:		
System type	Manufacturer	Model number(s)	Serial number	AHRI number	Cooling capacity (Btu/hr)	EER	SEER/IEER	Qty
<input type="checkbox"/> Package <input type="checkbox"/> Split system								
<input type="checkbox"/> Package <input type="checkbox"/> Split system								
<input type="checkbox"/> Package <input type="checkbox"/> Split system								
<input type="checkbox"/> Package <input type="checkbox"/> Split system								
<input type="checkbox"/> Package <input type="checkbox"/> Split system								
<input type="checkbox"/> Package <input type="checkbox"/> Split system								

UNITARY HEAT PUMPS (WATER-SOURCE): \$50/TON				Vendor:		Install date:			
System type	Manufacturer	Model number(s)	Serial number	AHRI number	Cooling capacity (Btu/hr)	EER	SEER/IEER	COP/HSPF	Qty
<input type="checkbox"/> Package <input type="checkbox"/> Split system									
<input type="checkbox"/> Package <input type="checkbox"/> Split system									
<input type="checkbox"/> Package <input type="checkbox"/> Split system									
<input type="checkbox"/> Package <input type="checkbox"/> Split system									
<input type="checkbox"/> Package <input type="checkbox"/> Split system									
<input type="checkbox"/> Package <input type="checkbox"/> Split system									

- Incentives are available per ton of cooling capacity ONLY. No incentives are paid per ton of heating capacity. Heat pumps must meet both the cooling mode and heating mode efficiency requirements to qualify for per ton cooling efficiency incentives.
- Equipment size categories and capacities are specified in terms of net cooling capacity at AHRI standard conditions for equipment as determined by AHRI Standard 210/240 for units < 65,000 Btu/hr and AHRI Standard 340/360 for units ≥ 65,000 Btu/hr.
- \$.50/ton incentive is offered for water-cooled and evaporatively cooled air-conditioners meeting or exceeding CEE Tier I efficiency standards. See Table 1.
- \$.50/ton incentive is offered for water-source heat pumps meeting or exceeding CEE Tier I efficiency standards. See Table 1.

AHRI = Air-conditioning, Heating, and Refrigeration Institute
 BTU = British Thermal Unit
 CEE = Consortium for Energy Efficiency
 COP = Coefficient of Performance

EER = Energy Efficiency Ratio
 IEER = Integrated Energy Efficiency Ratio
 HSPF = Heating Seasonal Performance Factor
 SEER = Seasonal Energy Efficiency Ratio

HVAC – Air Conditioners, Heat Pumps & VRF – Idaho

GROUND/GROUNDWATER-SOURCE HEAT PUMP			Vendor:		Install date:	
System type	Heat pump model #(s)	Total connected capacity (Btu/hr) ¹	Install location	Incentive	Tons ²	Total incentive
<input type="checkbox"/> Heat Pump, Ground Source (Heating & Cooling Mode)				\$50 x		
<input type="checkbox"/> Heat Pump, Groundwater-source (Heating & Cooling Mode)				\$50 x		
GROUND/GROUNDWATER-SOURCE LOOP			Vendor:		Install date:	
Select loop type	Heat pump model #(s)	Total connected capacity (Btu/hr) ¹	Install location	Incentive	Tons ²	Total incentive
<input type="checkbox"/> Ground-Source or Groundwater-Source Heat Pump - Closed loop				\$125 x		
<input type="checkbox"/> Ground-Source or Groundwater-Source Heat Pump - Open loop				\$125 x		
<p>Please confirm the following:</p> <p><input type="checkbox"/> Heat pump is ENERGY STAR[®] qualified.</p> <p>1. Equipment tonnage and efficiency rating are specified in terms of net cooling capacity at ISO-13256-1 for Ground-source or Groundwater-source heat pumps.</p> <p>2. Incentives are paid per ton of cooling capacity only. (1 ton= 12,000 Btu/hr)</p> <p>3. \$50 per ton incentive is offered for ENERGY STAR[®] qualified heat pumps. Additional \$125 per ton is offered for newly installed loop.</p>						

HVAC – Air Conditioners, Heat Pumps & VRF – Idaho

VRF HEAT PUMPS (AIR-COOLED); \$125/TON				Vendor:		Install date:			
System type	Manufacturer	Model number(s)	Serial number	AHRI number	Cooling capacity (Btu/hr)	EER	SEER/IEER	COP/HSPF	Qty
<input type="checkbox"/> Multisplit <input type="checkbox"/> Multisplit w/ Heat Recovery									
<input type="checkbox"/> Multisplit <input type="checkbox"/> Multisplit w/ Heat Recovery									
<input type="checkbox"/> Multisplit <input type="checkbox"/> Multisplit w/ Heat Recovery									
<input type="checkbox"/> Multisplit <input type="checkbox"/> Multisplit w/ Heat Recovery									
<input type="checkbox"/> Multisplit <input type="checkbox"/> Multisplit w/ Heat Recovery									
<input type="checkbox"/> Multisplit <input type="checkbox"/> Multisplit w/ Heat Recovery									

VRF HEAT PUMPS (WATER-COOLED); \$125/TON				Vendor:		Install date:			
System type	Manufacturer	System model number(s) ⁵	System AHRI number	Moduled serial number	Cooling capacity (Btu/hr)	EER	SEER/IEER	COP/HSPF	Qty
<input type="checkbox"/> Multisplit <input type="checkbox"/> Multisplit w/ Heat Recovery									
<input type="checkbox"/> Multisplit <input type="checkbox"/> Multisplit w/ Heat Recovery									
<input type="checkbox"/> Multisplit <input type="checkbox"/> Multisplit w/ Heat Recovery									
<input type="checkbox"/> Multisplit <input type="checkbox"/> Multisplit w/ Heat Recovery									
<input type="checkbox"/> Multisplit <input type="checkbox"/> Multisplit w/ Heat Recovery									
<input type="checkbox"/> Multisplit <input type="checkbox"/> Multisplit w/ Heat Recovery									

1. Incentives are available per ton of cooling capacity ONLY. No incentives are paid per ton of heating capacity. Heat pumps must meet both the cooling mode and heating mode efficiency requirements to qualify for per ton cooling efficiency incentives.
2. Equipment size categories and capacities are specified in terms of net cooling capacity at AHRI standard conditions for equipment as determined by AHRI Standard 1230.
3. \$125/ton incentive is offered for air-cooled VRF heat pumps meeting or exceeding CEE Tier 1 efficiency standards. See Table 2.
4. \$125/ton incentive is offered for water-source VRF heat pumps meeting or exceeding CEE Tier 1 efficiency standards. See Table 2.
5. For VRF systems with more than one module the AHRI number, cooling capacity and every performance values must be reported for the whole system.

AHRI = Air-conditioning, Heating, and Refrigeration Institute
 BTU = British Thermal Unit
 CEE = Consortium for Energy Efficiency
 COP = Coefficient of Performance
 VRF = Variable Refrigerant Flow

EER = Energy Efficiency Ratio
 IEER = Integrated Energy Efficiency Ratio
 HSPF = Heating Seasonal Performance Factor
 SEER = Seasonal Energy Efficiency Ratio

HVAC – Air Conditioners, Heat Pumps & VRF – Idaho

TABLE I-AIR CONDITIONERS & HEAT PUMPS - EFFICIENCY REQUIREMENTS & INCENTIVE LEVELS

Equipment type	Size category	Sub-category	Minimum Efficiency Requirement(s) & Customer Incentive		
			\$25/ton	\$50/ton	\$75/ton
Unitary Commercial Air Conditioners, Air-Cooled	< 65,000 Btu/hr	Split system	—	16.0 SEER	18.0 SEER
		Single package	—		17.0 SEER
	≥ 65,000 Btu/hr and < 135,000 Btu/hr	Split system and Single package	—	—	11.0 EER & 17.8 IEER
			—	—	10.8 EER & 16.8 IEER
			—	—	9.8 EER & 14.3 IEER
≥ 240,000 Btu/hr and < 760,000 Btu/hr	—	9.5 EER & 12.1 IEER	—		
Unitary Commercial Air Conditioners, Water Cooled	< 65,000 Btu/hr	Split system and Single package	—	14.0 EER & 12.3 IEER	—
	≥ 65,000 Btu/hr and < 135,000 Btu/hr		—	11.9 EER & 15.1 IEER	—
	≥ 135,000 Btu/hr		—	12.3 EER & 14.6 IEER	—
Unitary Commercial Air Conditioners, Evaporatively Cooled	< 65,000 Btu/hr	Split system and Single package	—	14.0 EER & 12.3 IEER	—
	≥ 65,000 Btu/hr and < 135,000 Btu/hr		—	11.9 EER & 15.1 IEER	—
	≥ 135,000 Btu/hr		—	11.8 EER & 14.1 IEER	—
Heat Pumps, Air-Cooled (Cooling Mode)	<65,000 Btu/hr	Split System	—	16.0 SEER or 15.2 SEER2	—
		Single Package	—		—
	≥ 65,000 Btu/hr and < 135,000 Btu/hr	Split System and Single package	—	11.0 EER & 15.3 IEER	—
			—	10.6 EER & 14.5 IEER	—
≥ 240,000 Btu/hr	Custom incentives			—	
Heat Pumps, Air-Cooled (Heating Mode)	< 65,000 Btu/hr	Split System	—	9.2 HSPF or 7.8 HSPF2	—
		Single Package	—	8.5 HSPF or 7.2 HSPF2	—
	≥ 65,000 Btu/hr and < 135,000 Btu/hr	47°F db/43°F wb outdoor air	—	3.5 COP	—
		17°F db/15°F wb outdoor air	—	2.4 COP	—
	≥ 135,000 Btu/hr and < 240,000 Btu/hr	47°F db/43°F wb outdoor air	—	3.4 COP	—
		17°F db/15°F wb outdoor air	—	2.1 COP	—
	≥ 240,000 Btu/hr	47°F db/43°F wb outdoor air	Custom incentives		
17°F db/15°F wb outdoor air		Custom incentives			
Heat Pumps, Water-Source	< 135,000 Btu/hr	86°F Entering Water (Cooling Mode)	—	14.0 EER	—
		68°F Entering Water (Heating Mode)	—	4.6 COP	—

HVAC – Air Conditioners, Heat Pumps & VRF – Idaho

TABLE 2-VRF HEAT PUMPS EFFICIENCY REQUIREMENTS & INCENTIVE LEVELS			
Equipment type	Size category	Sub-category	Minimum efficiency requirement(s) CEE Tier I - \$125/ton
VRF Heat Pumps, Air-Cooled (Cooling Mode)	< 65,000 Btu/hr	Multisplit system	15.0 SEER
	≥ 65,000 Btu/hr and < 135,000 Btu/hr	Multisplit system	11.0 EER & 14.2 IEER
		Multisplit system w/Heat Recovery	11.0 EER & 14.0 IEER
	≥ 135,000 Btu/hr and < 240,000 Btu/hr	Multisplit system	10.6 EER & 13.7 IEER
		Multisplit system w/Heat Recovery	10.6 EER & 13.5 IEER
	≥ 240,000 Btu/hr	Multisplit system	9.5 EER & 12.5 IEER
Multisplit system w/Heat Recovery		9.5 EER & 12.3 IEER	
VRF Heat Pumps, Air-Cooled (Heating Mode)	< 65,000 Btu/hr	Multisplit system	8.5 HSPF
	≥ 65,000 Btu/hr and < 135,000 Btu/hr	47°F db/43°F wb outdoor air	3.4 COP
		17°F db/15°F wb outdoor air	2.4 COP
	≥ 135,000 Btu/hr	47°F db/43°F wb outdoor air	3.2 COP
		17°F db/15°F wb outdoor air	2.1 COP
	VRF Heat Pumps, Water-Source	< 135,000 Btu/hr	Multisplit System 86°F Entering Water (Cooling Mode)
Multisplit System w/Heat Recovery 86°F Entering Water (Cooling Mode)			13.8 EER
68°F Entering Water (Heating Mode)			4.6 COP

To be eligible, equipment must meet or exceed the minimum efficiency requirement in effect on the date of purchase as seen in the table above.

CEE = Consortium for Energy Efficiency

TABLE 3-GROUND-SOURCE HEAT PUMPS, EFFICIENCY REQUIREMENTS & INCENTIVE LEVELS				
Equipment type	Size category	Sub-category	Minimum efficiency requirement(s)	Customer incentive
Heat Pumps, Ground-source or Groundwater-source	All sizes	—	ENERGY STAR® qualified	\$50/ton

TABLE 4-HEAT PUMP LOOPS				
Equipment type	Size category	Sub-category	Minimum efficiency requirement(s)	Customer incentive
Ground-source or Groundwater-source Heat Pump Loop	All sizes	Open Loop (Groundwater-source)	—	\$125/ton
		Closed Loop (Ground-source)		

Request for Taxpayer Identification Number and Certification

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

Give Form to the requester. Do not send to the IRS.

Print or type. See Specific Instructions on page 3.	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.	
	2 Business name/disregarded entity name, if different from above	
	3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes. <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ _____ Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner. <input type="checkbox"/> Other (see instructions) ▶ _____	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <i>(Applies to accounts maintained outside the U.S.)</i>
	5 Address (number, street, and apt. or suite no.) See instructions.	Requester's name and address (optional)
	6 City, state, and ZIP code	
	7 List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number					
<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> <td style="width: 5%; text-align: center;">-</td> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> <td style="width: 5%; text-align: center;">-</td> <td style="width: 40%; border: 1px solid black; height: 20px;"></td> </tr> </table>		-		-	
	-		-		
or					
Employer identification number					
<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> <td style="width: 5%; text-align: center;">-</td> <td style="width: 70%; border: 1px solid black; height: 20px;"></td> </tr> </table>		-			
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Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person ▶	Date ▶
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
 - Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
 - Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
 - Form 1099-S (proceeds from real estate transactions)
 - Form 1099-K (merchant card and third party network transactions)
 - Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
 - Form 1099-C (canceled debt)
 - Form 1099-A (acquisition or abandonment of secured property)
- Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.